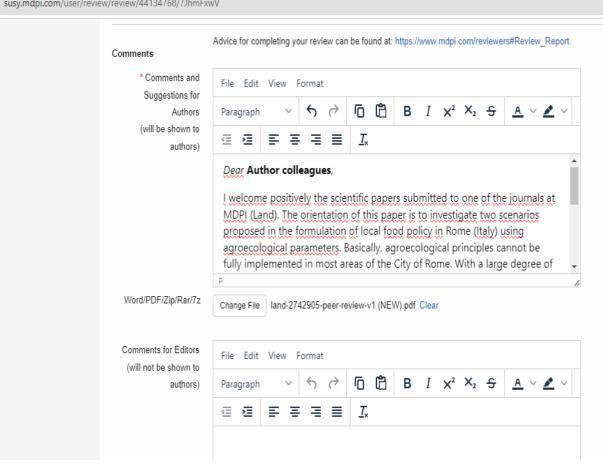
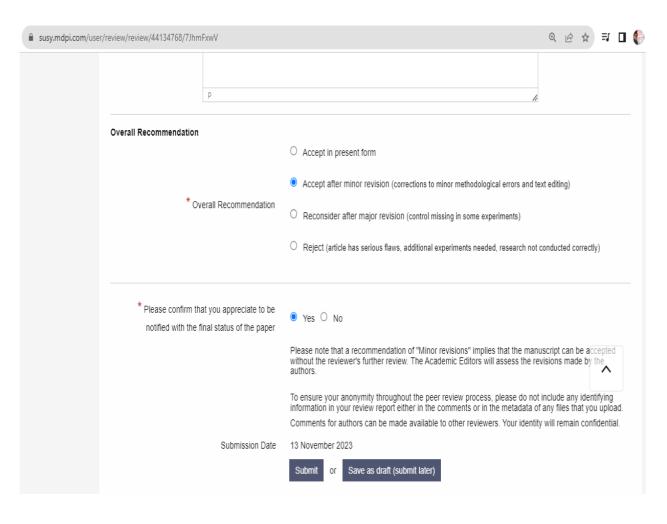
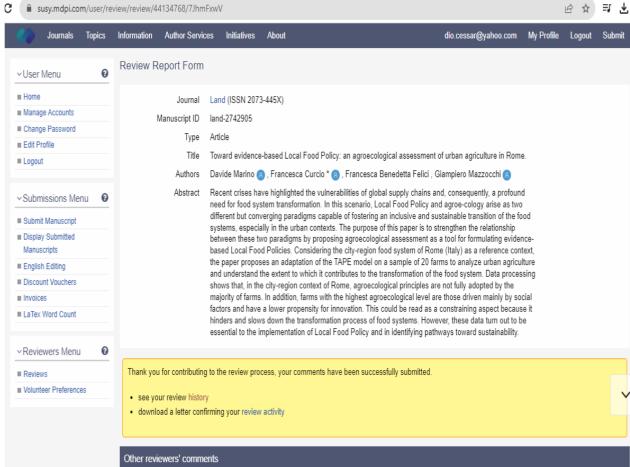


Recommendations for Authors (will be shown to authors) The following questions do not substitute for specific comments made for authors. Please give further details in the comments for authors box below. Can be Must be Yes improved improved applicable Does the introduction provide sufficient background and include all relevant references? Are all the cited references relevant to the 0 research? 0 Is the research design appropriate? 0 Are the methods adequately described? Are the results clearly presented? 0 Are the conclusions supported by the results? I am not qualified to assess the quality of English in this paper O English very difficult to understand/incomprehensible \* Quality of English Language O Extensive editing of English language required Please only provide feedback if, based on your O Moderate editing of English language required own proficiency in English, you feel qualified and able to assess the quality of English in this paper. O Minor editing of English language required O English language fine. No issues detected

Recommendations for Editors (will not be shown to authors) If you answered yes to any of the following questions, please give details in the comments for editors box below. Yes Νo Do you have any potential conflict of interest with  $\circ$ regards to this paper? Did you detect plagiarism? Did you detect inappropriate self-citations by authors? Do you have any other ethical concerns about this study? Ratings High Average \* Originality / Novelty \* Significance of Content Quality of Presentation 0  $\circ$ \* Scientific Soundness 0 0 \* Interest to the readers 0 \* Overall Merit Advice for completing your review can be found at: https://www.mdpi.com/reviewers#Review\_Report. Comments







# REVIEW CONFIRMATION CERTIFICATE

We are pleased to confirm that

## Dio Caisan Danna

Land, Agriculture, Sustainability, Buildings, Climate, Social Sciences, Sensors

has reviewed 20 papers for the following MDPI journals in the period 2022–2023:

Shu-Kur Cir

Basel, 23 November 2023 Dr. Shu-Kun Lin, Publisher and President



review reports and support the editorial process. The criteria for selection of reviewers include: holding a doctoral degree or having an contribution to the field, evidenced by peer-reviewed publications. equivalent amount of research experience; a national or international reputation in the relevant field; and having made a significant MDPI is a publisher of open access, international, academic journals. We rely on active researchers, highly qualified in their field to provide





Article

## Toward evidence-based Local Food Policy: an agroecological assessment of urban agriculture in Rome.

Davide Marino <sup>1</sup>, Francesca Curcio <sup>1,\*</sup>, Francesca Benedetta Felici <sup>1</sup>, Giampiero Mazzocchi <sup>2</sup>

- <sup>1</sup> Dept. of Biosciences and Territory, University of Molise; 86100 Campobasso, Italy; dmarino@unimol.it, f.curcio@studenti.unimol.it, francescabenedetta.felici@uniroma1.it.
- <sup>2</sup> Dept. of Agricultural Policies and Bio-Economics, Council for Agricultural Research and the Analysis of Agricultural Economics, 00187 Rome, Italy; giampiero.mazzocchi@crea.gov.it
- Correspondence: f.curcio@studenti.unimol.it

Abstract: Recent crises have highlighted the vulnerabilities of global supply chains and, consequently, a profound need for food system transformation. In this scenario, Local Food Policy and agroecology arise as two different but converging paradigms ASUS sustainable transition of the food systems, especially in the \$\\\2023-11-23 23:33:40 per is to strengthen the relationship between these two para \_\_\_\_\_ sessment as a tool for formulating evidence-based Local Food The words "Local Food Policy" should food system of Rome (Italy) as a reference context, the paper be Holowercase. This applies to any model on a sample of 20 farms to analyze urban agriculture a ASUS contributes to the transformation of the food system. Data pro 2023-11-23 23:34:50 context of Rome, agroecological principles are not fully adop

What does "TAPE" stand for? The author tion, farms with the highest agroecological level are those drives beginning. a lower propensity for innovation. This could be read as a conand slows down the transformation process of food systems. However, these data turn out to be essential to the implementation of Local Food Policy and in identifying pathways toward sustaina-

**Keywords:** food-policy; agroecology; assessment; urban agriculture.

25 26

24

27

28

41

43

44

8

11

### 1. Introduction

Recent crises, such as the Covid-19 pandemic, the Russian-Ukrainian conflict, the climate crisis, and growing food insecurity, have highlighted the vulnerabilities of global supply chains and, consequently, a profound need for food system transformation.

Over the last decades, the concept of Local Food Policy and agroecology have gained increasing relevance in the international scientific audience due to the emerging global 32 challenges facing our planet (climate, biodiversity, hunger, inequalities). They are progressively more accepted as sets of knowledge and practices that can convert entire food systems by bringing together the environmental, economic, and social dimensions of sus- 35 tainability and adopting a bottom-up approach based on the local knowledge and partic- 36 ipation. 37

Agroecology recognizes the interrelationships between people, agriculture and nature and the empowerment of farmers [1]. On the other side, Local Food Policies promote the systemic approach and the inclusion of food issues within all policy areas, including environment, health, and social inclusion [2]. Finally, both paradigms attempt to challenge the cultural and structural power dynamics existing in the current food system by reinforcing the self-organization of food producers and consumers.

The goal of this article is to demonstrate the potential of the link between these two paradigms to transform food systems toward sustainability. In particular, the article

Citation: To be added by editorial staff during production.

Academic Editor: Firstname Lastname

Received: date Revised: date Accepted: date Published: date



Copyright: © 2023 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/license s/by/4.0/).

Land 2023, 12, x FOR PEER REVIEW 2 of 16

> proposes an agroecological assessment methodology applied to urban agriculture in the 46 city-region food system of Rome (Italy). The research provides an adaptation of the Per- 47 formance Assessment Tool in Agroecology (TAPE) developed by FAO in 2019. The survey 48 was given to a sample of 20 farms adhering to "Alveare che dice Sì" - a short food supply 49 chain organization in Rome.

The results of the applice of this methodology ASUS cological transition of the selected farms, with particular tween city and countryside. The findings are noted to the The problem gap in the introduction is not evaluation of the Local Food Policy of the city of Rome, deptaldeally, there needs to be an assessments might be essential to formulate evidence-b explanation that compares normal the food system.

This article is structured in three parts. The first For example, the local food policy work (1) of the analysis, first presenting the paradignimplemented for the case study in Rome cology in separate sections, and then focusing on the with the concept of local food at the tices. In this section, the research will also present the global level food system of Rome, which is the case study. This is followed by a second part dedicated to the explanation of the methodology (2), that is the agroecological assessment adapted from the TAPE work [3]. In the third section, the results (3) deriving from the application of the method- 63 ology to the sample of farms will be presented. Finally, some discussions and conclusions will be outlined.

### 1.1. Theoretical framework

### 1.1 ocal Food Policy

Local Food Policies (or Urban Food Policies) are de--cities envision change in their food systems and in how The theoretical framework is a separate [4] (p. 6). Specifically, Local Food Policies are characteristo the introduction. The theoretical sectoral approach, which considers the interconnection framework is created into a new chapter tal and economic dimensions. In attempting to transfortor outside the introduction. You may aim to bring about changes in the various sectors, sud consider the reviewer's directions. vices, public procurement, economic development, land use and agriculture

Moreover, in the empirical contexts in which Food Policies were implemented, new governance dynamics occurred, such as participatory processes involving actor civil society, public institutions, and private sector.

According to Sonnino [5], Urban Food Policies in Europe and North America are dis- 78 tinguished by four key characteristics, representing a significant change from the past. 79 First, they are characterized by a systemic vision, which means embedding food issues in all policy areas. Second, they promote a concept of "new localism", since they emphasize the territorial dimension and considers the "city-region food system". Some scholars and practitioners [6] identify in the city-region food system a new "geographic entity" denoting a target area which goes beyond the administrative boundaries of the city and includes ecological and social connections with the surrounding area. Third, they foster a type of participatory governance that promotes community capacity-building and new governance dynamics, for example through Food Councils [7]. Finally, "trans-local" networks are emerging – such as the Milan Urban Food Policy Pact (MUFPP)-, capable of extending the relevance of Food Policy both geographically and politically.

Finally, we can say that Urban Food Policies aim to democratize and transform food systems by empowering citizens and facilitating their participation in food policy development and implementation.

### 1.1.2. Agroecology

Over the last 20 years, the concept of agroecology has gained increasing relevance in the international scientific audience. It is becoming a basis for converting entire agrifood systems by bringing together the several of sustainability [8] and adopting a bottom-

conditions with the existing situation.

2023-11-23 23:40:35

89

81

82

83

85

65

91

92

93

95

Land 2023, 12, x FOR PEER REVIEW 3 of 16

> up approach based on the knowledge and natural resources of local communities for agricultural production (Nicholls and Altieri, 2018). Agroecology recognizes the interrelationships between people, agriculture and nature and the empowerment of farmers [1], due to its multidimensional nature: as a science, as an innovative agricultural practice and as a grassroots socio-political movement of small-scale producers [9].

97

100

101

102

103

108

109

110

111

116

117

118

119

120

121

122

123

124

125

126

127

128

129

130

131

132

133 134

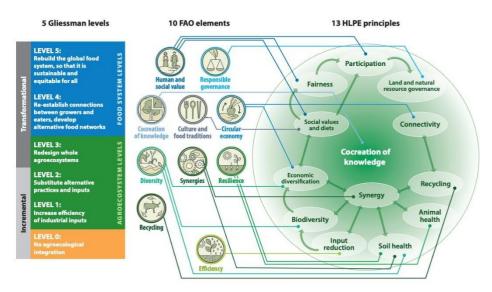
Agroecology is rooted in practices, ecological farming projects, and phenomena of resistance to the spread of industrial agriculture by indigenous farmers in Latin America [10, 11, 12]. In 1928, Bensin [13] used this expression to refer to the application of the prin- 104 ciples and concepts of ecology to agriculture. Starting in the 1970s, in response to the ho-105 mologation dictated by the Green Revolution, agroecology began to take on connotations of an ideological nature, advocating an ecological view of agriculture through the inclusion of the concept of agroecosystem, understood as a harmonious combination of natural and artificial ecosystems. In the 1980s, attention was focused on the concept of "sustainability" related to the agricultural sector, as a model capable of protecting natural resources [14].

In the 1990s, agroecology began to take on a social character in connection with crit-112 ical reflection on food consumption patterns [15], focusing on the interrelationships be- 113 tween production, distribution, and consumption. In 2007, Gliessman redefined agroecol- 114 ogy as a science that applies ecological concepts and principles to the design and manage- 115 ment of sustainable agri-food systems [16]. Several sets of agroecological principles have been produced in the scientific literature [17, 18, 19, 20, 21, 22], the most recent including those formulated by CIDSE [23], FAO [24] and INKOTA [25].

The implementation of these principles is useful not only to reduce the use of nonrenewable resources [26], but also to activate endogenous development dynamics. In this context, agroecology proposes the foundations for defining new areas such as the foodshed and alternative food networks [27, 28], both of which address the sustainability of food systems. In 2018, the FAO, recognised agroecology as a significant approach to achieving the sustainability goals of agricultural and food systems, intercepting the 10 elements as a guide for policymakers, farmers and other stakeholders involved in planning, managing and monitoring the agroecological transition [24].

In 2019, a high-level expert group defined the 13 principles of agroecology (HLPE, 2019) needed to operationalize the agroecological approach in the Performance Assessment Tool in Agroecology (TAPE) methodology including: reuse of waste; reduction of input use; soil health, animal health and welfare; biodiversity; synergy; economic diversification; knowledge co-creation (interaction between local knowledge and global science); social values and dietary regimes; fairness; connectedness; land and natural resource management; and participation (Figure 1).

Land **2023**, 12, x FOR PEER REVIEW 4 of 16



**Figure 1.** The correspondence within the 10 FAO elements, the 13 HLPE principles and the 5 Gliessman levels. Source: [29].

### 1.1.3. Synergies between Food Policy and Agroecology

According to Gliessman [16], the agroecological transformation of the agri-food system passes through a process consisting of five steps:

- 1. increasing input use efficiency;
- 2. replacing conventional inputs and practices with agroecological alternatives;
- 3. redesign the agroecosystem on the basis of a new set of ecological processes;
- 4. restoring a more direct connection between producers and consumers;
- building a new global food system based on participation, locality, equity and justice, where only the last three steps are recognized as having real transformative capacity.

Considering these steps, agroecology offers a new multidisciplinary approach to transform food systems at territorial level, considering the interconnections between dimensions (i.e. social and economic) and sectors (i.e. production and consume). According to the agroecological approach, urban contexts and urban-rural relations assume a central role and become places of interest for all activists and researchers engaged in issues pertaining to agrarian issues and agroecological transitions [30, 31, 32, 33].

Therein lies the convergence between food policy and agroecology: both aim at an inclusive and sustainable transformation of the food system, reconsidering the interconnection between social, environmental and economic dimensions (See Table 1). Not only that, but they also take into account a territorial approach, based on local knowledge and participation, countering asymmetric power dynamics in the food system. However, while the former provides suitable governance tools to support the transition (i.e. Food Policy Councils, participatory decision-making processes), the latter offers ecological principles to make food production and consumption more sustainable.

In empirical contexts, experiences in the field of urban agroecology [34] and farmers' participation in Local Food Policies for food system have converged and marked the rise of a new research agenda aimed at linking food sovereignty and urban movements.

Specifically, urban agroecology, understood as the expansion of urban agriculture, promises to overcome the unsustainable link between rural and urban-periurban activities [35]. This activity is considered central to both agroecology practices and Food Policy implementation.

Thus, considering the convergence of these two practices in transform the city-region food system, this article aims to demonstrate how one can be functional to the other, particularly in the assessment and formulation of evidence-based policies.

Table 1. Convergences between Local Food Policy and Agroecology. Source: [36].

Food Policy principles	Gliessman's model principles
1. Promoting healthy and balanced diets	Equity, justice
2.Accessibility to healthy diets	Equity, justice
3. Recognizing the value of food sustainability	Participation, democracy
4. Developing short supply chains and diversification	Equity, justice, democracy
5. Waste reduction	Equity, justice
6. Adequate income levels for producers	Equity, justice, participation
7. Promoting the sustainable use of resources	Participation
8. Promoting specific territorial and landscape features	Participation, democracy
9. Strengthening urban-rural linkages	Participation, democracy
10. Participatory and shared governance	Democracy, participation, equity, justice

### 1.1.4. The city-region food system of Rome

Through a series of fragmented processes, the city of Rome has always been characterized by strong links between the urban population and local agriculture, until recent decades, when long industrialized food chains have become increasingly dominant [37], breaking down that traditional link between city and countryside. The weak commitment of the public sector, however, failed to stop the strong will of informal groups and organ-178 izations engaged in the attempt to create collective democratic dynamics for the transfor- 179 mation of the local food system [38]. There is a lot of excitement in the Roman context with rapidly growing initiatives aimed not only at supporting conscious actions in line with the 2030 Agenda for Sustainable Development, but also aimed at building coordination between the different actors in the urban food system. In fact, the bottom-up process of the city's Food Policy, which involves a wide range of actors willing to build more resilient and sustainable development models, is being carried out. The proposal for a Food Policy for Rome stems from the desire of the promoting committee to bring together people and realities active in different spheres.

In 2019, the Terra! Association and Lands Onlus launched 'A Food Policy for Rome' [39], an analysis and mapping of the Roman food system that aimed to highlight its criticalities and prospects, presenting the institutions with 10 operational principles to initiate a food policy aimed at sustainability, the protection of local producers and the right to food. This event marked the beginning of a formal dialogue between the municipality and the group that culminated in the unanimous approval of the Capitoline Assembly in 2021 of Resolution 38/2021 laying the foundations for a food policy. Resolution 38 consists of the same principles outlined in the proposal and includes a commitment by the municipality to:

- the formulation of a strategic document of the Food Plan with vision, principles and guidelines (Art. 2);
- the establishment of the Food Council (Art. 3);
- the establishment of a technical office for the implementation of the Rome Food Policy (Art. 4).

On 23 February 2022, the provisional Food Council took office, chaired by the President of the Environment Commission of the City Council, which initiated the creation of 7 working tables related to the 10 operational objectives (Figure 2) of the proposal document well depicted by Marino and Mazzocchi [39] in the article 'The Evolution of Food Policy in Rome: Which Scenarios?'.

172

173

174

175

176

177

181

182

183

184

185

186

187

194

195

196

Land 2023, 12, x FOR PEER REVIEW 6 of 16

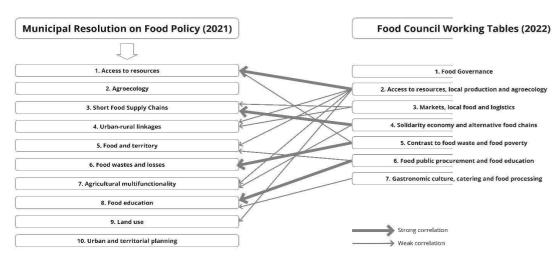


Figure 2. Connections between the working tables of the Food Council and the objectives envisaged in Resolution 38/2021. Source: [40].

As we can see from Figure 2, the Table 2 'Access to resources, local production and agroecology' is of considerable weight since it is simultaneously connected to several Food Policy objectives. Therefore, Food Policy aims to use the agroecological approach to support innovation in local food systems through the involvement of different actors.

Turning our attention to the agricultural side, on the other hand, the municipality of Rome, the largest agricultural municipality in Italy, has a millenary agricultural and food history with 45% of the area consisting of agricultural land. The relationship between the city and its Agro, Roman productions, markets, operators, companies, and gastronomic traditions represent identity elements of the city [41].

The strong pressure of urbanization between 1990 and 2000 caused a 42% reduction in the utilised agricultural area (UAA); this trend was reversed between 2000 and 2010, with an increase in UAA of 14% [40] and a further increase of 5.72% between 2010 and 2020. To date, a very important aspect that characterizes agriculture in our country and which is also reflected at the regional and municipal level is represented by a dichotomy regarding the size of farms and the UAA [42, 43], i.e. fewer but larger farms. The latest agricultural census [44] draws attention to the ongoing process of concentration of agricultural entrepreneurship.

The report confirms that the average size of farms has doubled over the period 1982-2020 in terms of UAA (from 5.1 to 11.1 average hectares per farm) and SAT (from 7.1 to 14.5 average hectares per farm). Shifting our gaze to the territorial boundaries of the Mu-231 nicipality of Rome, through an elaboration of regional data from 2020 and 2010 and mu-232 nicipal data from 2010 (Figure 2), it was possible to calculate an estimate of the municipal data in 2020 of the number of farms and UAA by UAA classes (from 0 to 19.99 hectares and from 20 to over 100 hectares).

The estimated data tell us that there are 1,966.62 farms in 2020 with a decrease of 25.96% compared to 2010. Similarly, the UAA of small farms in 2020 is 7,353.24 with a percentage change of -8.34 compared to 2010, while the UAA of large farms is 40,344.35 with a positive percentage change of 10.23. In relation to the distribution of holdings by classes of utilized agricultural area, holdings between 0 and 19.99 hectares occupy 15.42% of the UAA compared to 84.58% of large holdings (> 20 and over 100 hectares). This shows that there is a strong prevalence of large farms (Figure 3), which are smaller in number but hold a high percentage of the UAA.

209

210

211

212

213

214

215

216

217

218

219

220

221

222

223

224

225

226

227

228

229

230

233

234

235

236

237

238

239

240

241

242

Land 2023, 12, x FOR PEER REVIEW 7 of 16

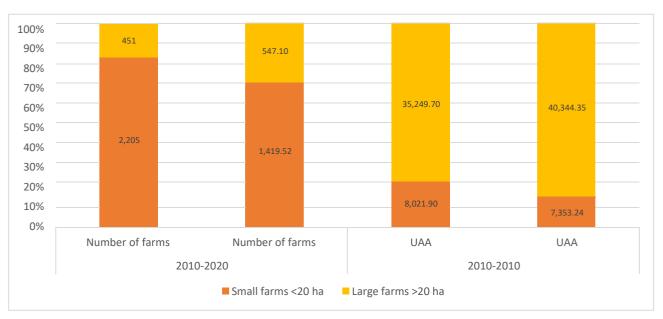


Figure 3. Comparison of agricultural enterprises and utilized agricultural area (UAA) in Rome in 2010 and estimation for 2020 (% and VA)1.

246 247

248

264

265

266

267

268

269

270

271

272

273

274

275

276

Similarly, according to the latest ISPRA report [45], Rome is the city that consumes 249 more soil on average than other cities, over 90 hectares, since 2006. In 2021, the city lost 95 hectares of previously natural or semi-natural soil and more than half of the soil con-251 sumption can be traced back to a form of transition classified as building site areas. In the 252 same year, Rome also consumed soil for new built-up areas and for the expansion of 253 quarry areas and paved areas for car parks or yards. 254

The observation of soil consumption is necessary because it is a phenomenon that 255 generates negative effects on climate change such as the loss of ecosystem functions, the 256 increase of extreme phenomena and the modification of albedo and consequent positive forcing (heat islands). In a scenario like the one in Rome where there is a lot of land con-258 sumption and land is increasingly concentrated in a few hands, the agro-ecological tran- 259 sition of urban and peri-urban agriculture acquires full relevance with respect to the im- 260 plementation of food policy. 261

In essence, as part of a transformative process of the urban food system, the agroeco- 262 logical approach would lead to the reorganization of the material flows, social and eco-263 nomic relations of the city-region food system context. In this sense, in this paper we found it interesting to understand and analyze a sample of companies representative of the Roman territory in order to verify the existence or absence of complementarities between the agroecological model and food policy. Furthermore, the data show us that the implementation of agro-ecological principles by companies is certainly essential, but in order to increase the significant impact on the entire ecosystem, a greater involvement of large companies that hold more UAA would be inevitable.

### 2. Materials and Methods

To investigate agroecological transition at the farm level, the FAO has developed the TAPE framework, launched in 2019 [3]. The TAPE (Tool for Agroecology Performance Evaluation) model as a performance evaluation method in agroecology is based on several existing evaluation frameworks. TAPE is a comprehensive tool that aims to measure the multidimensional performance of agroecological systems across different dimensions of

<sup>&</sup>lt;sup>1</sup> The decision to classify farms into 2 categories (small size up to 19.99 hectares and large size > 20 hectares) is related to the characterization of the Italian agricultural sector.

Land 2023, 12, x FOR PEER REVIEW 8 of 16

sustainability, in different contexts and at different scales, with the aim of supporting spe- 277 cific policy development in this regard. It uses a household and farm scale approach, but 278 also captures information and provides results at the territorial level [3].

TAPE is based on the analytical framework called MESMIS (The Evaluation of Natural Resource Management Systems) [46], a reference evaluation framework generally used in Latin America, which provides principles and guidelines for the quantification and integration of context-specific indicators through a multi-stakeholder participatory process. In our work, TAPE is the basic methodological tool from which we started to carry out the agroecological analysis on the Roman territory.

Considering that the TAPE model was created to measure the multi-dimensional performance of developing countries' agroecological systems across the different dimensions of sustainability, this work envisaged a re-adaptation of it to the western context and more specifically to the territorial food system of the city of Rome. The research considered a sample of 20 farms belonging to the "Alveare che dice sì!" (from here on "hives", as "alveare" translates in "hive").

It is a short food supply chain experience, comparable to a Solidarity Purchasing Group, in which there are no intermediary relationships. Producers and consumers meet in presence for the selling, thus favoring direct relationships. The choice of farms was made considering that they belong to an innovative type of short food supply chain in which environmental, relational, social, and economic objectives should be fully integrated. Our hypothesis is that these farms' features contain elements from which valid principles can be extracted to guide the agroecological transition of the Roman agro-food system.

The questionnaire was administered in the period September-October 2022, to farms and processing companies in the Lazio area that fall within one of three hives: "Marconi Roma", "Roma Monteverde", and "Roma bio appetito Spinaceto". The structure of the questionnaire was organized in 3 sections: a farm descriptive section, an agroecological section; a section on the importance of participation in the hive. In the second section, eleven questions were asked, corresponding to the ten agroecological principles of TAPE [3] and covering the four areas identified by Sahlin et al [47] (see Table 2).

**Table 2.** Questions, TAPE principles, and areas for data analysis considered for the questionnaire.

Question	TAPE principle (10 elements of agroecology - FAO)	Sahlin area (2022) for data analy-
		sis
1	Diversity	Environmental integrity
2	Cooperation and knowledge exchange	Economic resilience
3	Synergy	Environmental integrity
4	Efficiency	Economic resilience
5 (5.1, 5.2)	Recycling	- Freizmental interitz
6 ===	Resilience	ASUS 2023-11-23 23:44:37
7	Human and social values	
8	Food culture and tradition	Question 5 consists of two items (5.1 and
9	Responsible governance	5)However, Table 2 does not describe in
10	Circular and solidarity economy	detail what materials and indicators
		represent "recycling". The authors only write "environmental integrity", where

Each question contains five response modes, constitute parameters are not yet implicit.

5. By combining the eleven questions, a composite indicator was created expressing the agro-ecological gradient of the farm, which can range from 10 to 50 or from 0 to 100 when expressed as a percentage. Based on the data obtained from the administration of the questionnaire to the farms that are part of the "Alveare che dice sì!", it was possible to investigate:

Land **2023**, 12, x FOR PEER REVIEW 9 of 16

1. whether the agro-ecological model is found in farm management activities, i.e., in peri-urban agriculture;

- 2. whether participation in the "hives" has induced changes in an agro-ecological sense;
- 3. analysing the agro-ecological characteristics of farms in the Roman context, to extract principles that can inspire and guide the directions of the food policy process.

### 3. Results

### 3.1. Farms structure

A total of 19 farms completed the questionnaire. Of these, 11 are sole proprietorships, i.e. consisting of a single working partner, 6 are simple agricultural companies and only one is a corporation. The average farm size expressed in TFA (Total Farm Area) is 31.4 hectares. Of these, 78.3% are Utilized Agricultural Area (UAA). It should be noted that, among the farms considered, one has a TFA of 250 hectares, without which the average would be 19.2 hectares.

As for the distribution of UAA, the first quartile is found at 2.65 hectares, the second quartile at 7.5 hectares, and the third quartile at 25.5 hectares, thus highlighting the fact that the sample is characterized by the presence of half of the farms with a size close to the Italian average (8.4 hectares). It is observed a concentration of farms in the smaller size classes: 40% have a UAA between 0 and 5 hectares, and the 30% between 5 and 20 hectares. The larger farms (more than 20 hectares) concentrate almost 85% of the productive areas. The distribution of holdings by type of land ownership is characterized by private 336 ownership in about half of the cases, while the other half is evenly distributed between mixed ownership-rental and rent-only modes. Apart from a causal link between type of ownership and farm size, which would have to be demonstrated, the UAA ranges from 11.7 hectares for rental, 26.9 for mixed modes and 33.4 in the case of land ownership. In 60% of cases, the farms have a production orientation based on vegetable crops (mixed herbaceous and/or arboreal), and mainly market fresh products, but also processed products such as oil and products in oil, jams, fruit juices, bakery products, and wine.

The 20% are specialized in animal production with the production and marketing of dairy products and processed meat (mainly pork and beef). The remaining 20% of the farms have a mixed orientation with cultivation and breeding, and mainly market fresh products of vegetable and animal origin, processed meat, cheese and oil. Only 20% of the sampled farms produce PDO-PGI products. The 60% of the farms have adopted 'non-conventional' production models (organic or biodynamic) while the remaining 40% adopt conventional farming model. However, it should be noted that in the first group, non-certified forms or Participatory Guarantee Systems prevail; in average, these farms have an extension of less than 20 hectares. Smaller farms, having few financial resources to pay for certification, and relying on trust (typical of direct sales), prefer to not have an organic certification [48]. In terms of work units, the average is 3.8, of which about two thirds are family members.

Thus, the farms in the sample are characterized by a marked prevalence of family farming: in fact, about half of them employ only family workers, while in cases where salaried labor is present, this exceeds family labor in percentage terms on one farm. In all other cases where wage labor is employed, this amounts to about 41% of the total labor units. The degree of multifunctionality, despite the farms fall fully under peri-urban agriculture [49], is modest: only the 26% has a complementary activity to primary production, mainly focused on agritourism as supplementary source of revenue and internal re-utilization of farm products.

### 3.2. Agroecological gradients

Table 3 gives the results of the questionnaire with respect to the ten agro-ecological dimensions consistent with the TAPE theoretical reference model. Summing up, for each

Land 2023, 12, x FOR PEER REVIEW 10 of 16

question, the frequencies of the answers with the highest agroecological gradient (me- 367 dium-high and high), it emerges that the principles of Synergy and Food Culture and Tra- 368 dition are the ones most pursued by the farms surveyed (63% in both cases). Next come 369 Human and Social Values (58%), Cooperation and Knowledge Sharing, Efficiency, Re- 370 sponsible Governance and Circular and Solidarity Economy (53%). The lowest values, ob- 371 tained by summing the frequencies of the two response modes with the lowest agro-eco- 372 logical gradient (medium-low and low), are consistently achieved by the principle of Re- 373 newables (74%, only one company has significant renewable energy production). Not 374 very positive results also for the Recycling and Resilience principle (both 37%).

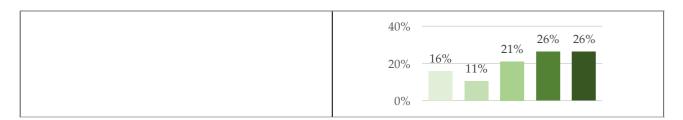
**Table 3.** Frequencies (expressed in %) of the five response modes for each principle. The agro-ecological gradient is expressed by the colouring of the histograms, from the lightest (low gradient) to the darkest (high gradient).

376

377



Land **2023**, 12, x FOR PEER REVIEW 11 of 16



On the basis of the response patterns recorded by each farm with respect to the ten 380 agro-ecological principles, it has been possible to obtain a synthetic indicator that assigns 381 a score on a gradient from 0 to 100. Subsequently, the farms were ranked on three levels 382 (low, medium and high), through a subdivision into tertiles. The results show a distribu-383 tion of tertiles with the first level from 28.8% to 48.7%, the second from 48.8 to 60.0% and 384 the third from 60.1 to 100 (see Table 4).

Table 4. Tertiles, number of farms and agro-ecological level classification.

		40110	
Tertiles	N. of farms	ASUS 2023-11-23 23:46:21	
28.8% - 48.7%	6	Low	
48.8% - 60.0%	7	The source for tables and figures must be	
60.01% - 100%	6	seleven though it is the output or	
	·	calculation result of the author's	

Following the subdivision of the farms by low, medium and high agro-ecological level, the average level for each agro-ecological principle of the farms belonging to the same level was calculated (see Figure 4).

Responsible governance

Food culture and tradition

Human and social values

Circular and solidarity

economy

Cooperation and knowledge exchange

**Figure 4.** Synthetic gradients for each agroecological principle, per agroecological level classification.

### 3.3. Farm strategies

An element little explored in the international literature is whether the path towards agroecological principles leads to changes in business strategy. Or, in other words, whether agroecology can represent a business strategy of adaptation to new market conditions and new consumer needs, especially in urban areas. To this end, an indicator was

388

390 391

392 393

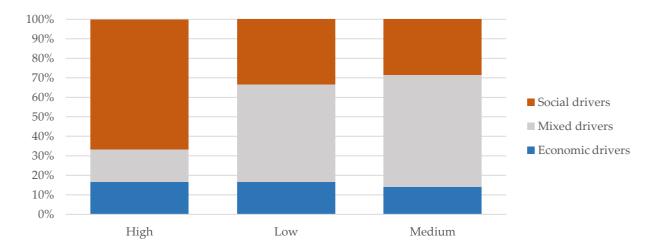
394

Land **2023**, 12, x FOR PEER REVIEW 12 of 16

first developed to summarize the motivations that led the companies to join the food short 400 supply chain of the "Alveare che dice sì". The questionnaire was structured to distinguish 401 between social and more strictly economic motivations.

In particular, the following reasons were considered strictly economic: guaranteeing fair remuneration; improve market access. The motivations of a social nature are: social commitment towards communities; desire to promote greater access to quality products; desire to make consumers aware of their products and their approach. Considering the entire sample, the motivations that pushed the farms to join the short supply chain system under study are more of a social nature (desire to make the consumer aware of their products and their approach; desire to encourage greater access to quality products).

The least prevalent motivation is that relating to the search for fair remuneration. Based on the prevalence of economic or social motivations, or a balance between the two, farms have been classified into "Economic drivers", "Social drivers" and "Mixed drivers". Figure 5 shows that higher agroecological level are correlated with a prevalence of social drivers, while low and medium agroecological levels are more balanced and characterized by a mix of motivations both economic and social.



**Figure 5.** Correlation between agroecological level (High, Low and Medium) and the drivers to be part of the "Alveare che dice sì" short food supply chain.

Another important aspect in order to explore the farms' strategies in relation to joining the "Alveare che dice sì" is the degree of innovation. For all surveyed farms, joining the new sales channel has generated changes in business management and planning models. The most widespread innovation is corporate investments to access the short supply chain, packaging and digital innovation. Much less widespread have been the development of new production processes and, in no case, systems to guarantee better working conditions for the workforce employed. However, also in this case it was possible to build a synthetic indicator based on the innovations made with respect to a potential list, in order to compare it with the agroecological level. Based on the responses received, four innovation levels were created: Weak (only 1 innovation introduced), Medium-Weak (2 innovations introduced), Medium-Strong (3 innovations introduced), Strong (4 innovations introduced). 11 farms have a Weak innovation level, 5 have a Medium-Weak level, 2 have a Medium-High level, and only one has a High level.

Figure 6 highlights that there is no direct correlation between a high agroecological level and the innovation gradient introduced into the farm. On the contrary, it is found that the only farm with a innovation gradient classified as Strong, falls into the low agroecological level classification.

13 of 16 Land 2023, 12, x FOR PEER REVIEW

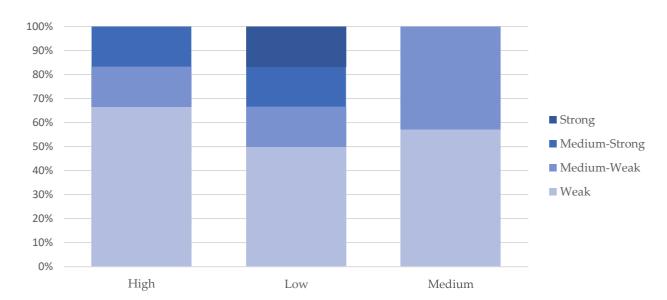


Figure 6. Correlation between agroecological level (High, Low and Medium) and the gradient of 439 innovations (from Weak to Strong) adopted to be part of the "Alveare che dice sì" short food supply 440 chain. 441

Finally, the degree of innovation and the drivers have been correlated (See Figure 7). Also, in this case an inverse relationship is highlighted between propensity for innovation and social motivations, while increasing the economic-mercantile component also increases - albeit in the context of a generally low propensity for innovation - the degree of innovation.

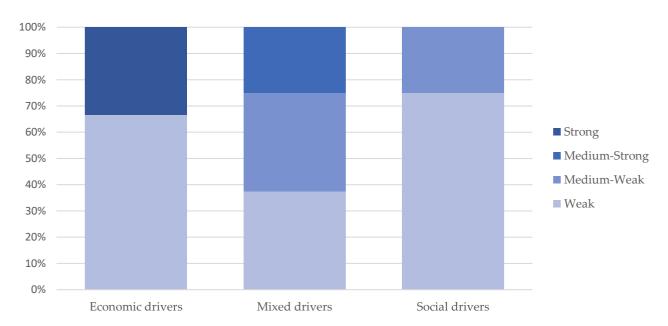


Figure 7. Correlation between degree of innovation and the drivers to be part of the "Alveare che dice sì" short food supply chain.

### 4. Discussion and conclusions

e objective of this research work was to evaluate t 2023-11-23 23:50:36 local food policies and agroecology through the applica----ment methodology.

I suggest dividing the discussion and containsections separately. Apart from that, the discussion chapter is still weak. The discussion should be Lsupplemented by a comparison of past

438

442

443

444

446 447

448

449

455

456

457

459

460

464

465

466

467

468

469

474

475

476

478

479

480

481

482

483

484

485

486

487

488

489

490

492

493

494

495

497

498

499

500 501

502

503

504

505

506

Specifically, Gliessman's 5 levels start from transformations in agricultural production to a broad food system transition, going in the same direction as local food policies.

Throughout the article, the agroecological level of a panel of peri-urban farms around the city of Rome was measured. The research aimed to investigate in what type of farms 458 agroecological principles are most widespread and how agroecology matches up with their business strategy.

The choice of a panel of farms working on proximity relationships (urban - rural link- 461 ages) is even more important because these relationships (which have always existed) to-462 day are articulated in innovative and transformative ways and because they are at the core of local food policies. The tool employed provides evidences for local food policy formulation and evaluation, in the light of the fully integrated multiscale systems approach from farm to region to globe that is necessary to enhance agroecology [29].

Regarding the agroecological gradient of the farms, it appears that farms are evenly distributed across the three levels (High, Low and Medium). Also, some agroecological principles are pursued more than others (as, for example, Cooperation and Knowledge Sharing, and Sinergy). About the drivers (Social, Economic or Mixed) of the farms to be part of the "Alveare che dice Sì" short food supply chain, the results show that higher agroecological levels are correlated with a prevalence of social drivers, while low and me- 472 dium agroecological levels are more balanced and characterized by a mix of both eco- 473 nomic and social drivers.

Regarding the innovations (from Weak to Strong) adopted by the farms to be part of the short food supply chain, there is no direct correlation between a high agroecological level, and the gradient of innovation introduced on the farm. On the contrary, it is found that the only farm with an innovation gradient classified as strong falls into the low agroecological level classification. About the connection between innovation and drivers, we observe an inverse relationship between propensity to innovate and social motivations, while increasing the economic-mercantile component also increases the degree of innovation - albeit in the context of a general low propensity to innovate.

In a nutshell, farms with the highest agroecological level, have a less "economistic" approach and are mainly driven by social factors. These farms have a lower propensity to innovate than those motivated by economic drivers and low agroecological level. We observe a kind of polarization between economic and social motivations of farms, with the former being more innovative and the latter characterized by a higher agroecological level. Returning to theoretical assumptions, this agroecological analysis can inform the Rome Food Policy on urban agriculture farms to shed light on their motivations and degree of innovation.

Also considering these data against the context of urban and peri-urban agriculture in Rome, we observe that there is a negative variation in the number of small farms and most of the arable land belongs to large farms. From a Food Policy point of view, it may be necessary to introduce tools to promote agroecology towards small farms, because not all of them (as the research shows) have a sufficient agroecological level, but also towards large farms since they are more prone to innovation and represent most of the urban and peri-urban agriculture. In conclusion, the research emphasizes the importance that agroecological transition should be promoted through a local food policy at all levels and should not only go through drivers related to social factors but is supposed to be integrated into the business strategies of farms of any size.

Author Contributions: Conceptualization, D.M.; methodology, D.M.; data curation, G.M., and F.B.F.; writing—original draft preparation, F.C and F.B.F; writing—review and editing, F.C.; supervision, G.M. and D.M. All authors have read and agreed to the published version of the manuscript.

Funding: this research did not receive external funding.

Institutional Review Board Statement: Not applicable.

527

528

529 530

531

532

533

534

535

537

538

539

540

541

542

543

544

545

546

547

549

550

551

552

553

554

555

556

557

558

560

be updated based on recent

Informed Consent Statement: Informed consent was obtained from all subjects involved in the	507
study.	508
Data Availability Statement: Not applicable.	509
<b>Acknowledgments:</b> The authors are especially grateful for the cooperation provided by the 'Alveare che dice Si'.	510 511
Conflicts of Interest: The authors declare no conflict of interest.	512

### References

- 1. Ande Still C. R., Maughan, C., & Pimbert, M. P. Transformative agroecology learning in E 2023-11-23 23:54:24 and collective capacity for food sovereignty. Agriculture and Human Values, 2018, 36: 53!
- 2. Hawkes, C., & Parsons, K. Brief 1: Tackling food systems challenges: the role of food policing the existing references are good.
- 3. FAO. TAPE Tool for Agroecology Performance Evaluation 2019 Process of developmen To incretainterest of readers with similar version, 2019, Rome.
- 4. Moragues, A., Morgan, K., Moschitz, H., Neimane, I., Nilsson, H., Pinto, M., Rohracher, H. add several publications from leading?

  T., and Halliday, J. Urban Food Strategies: the rough guide to sustainable food systems, De journals that are relevant to discussing of the FP7 project FOODLINKS, 2013.

  the topic. In addition, the publication 21
- 5. Sonnino, R. Urban food geographies in the global North. A RENEWED READING OF THE year of previous papers cited needs to
- 6. Blay-Palmer, A., Santini, G., Dubbeling, M., Renting, H., Taguchi, M., & Giordano, T. Vadevelopments, region food system approach: Enacting inclusive, transformational city region food systems. Sustainability, 2018, 10.5: 1680.
- Gupta, C., Campbell, D., Munden-Dixon, K., Sowerwine, J., Capps, S., Feenstra, G., & Kinn, J.-V. S. Food policy councils and local governments: Creating effective collaboration for food systems change. Journal of Agriculture, Food Systems, and Community Development, 2018, 8.B: 11-28.
- 8. Gliessman, S.R. Transforming food and agriculture systems with agroecology. Agriculture and human values, 2020, 37.3: 547-548
- 9. Toledo, V. M. La agroecología en Latinoamérica: tres revoluciones, una misma transformación. Agroecología, 2011, 6: 37-46.
- 10. Guzmán, E. S. La participación en la construcción histórica latinoamericana de la agroecología y sus niveles de territorialidad. Política Y Sociedad, Madrid, 2015, 52 (2): 351–370.
- 11. Altieri, M.A., and Toledo, V.M. The agroecological revolution in Latin America: rescuing nature, ensuring food sovereignty and empowering peasants. Journal of peasant studies, 2011, 38.3: 587-612.
- 12. Holt-Giménez, E. Campesino a campesino: voices from Latin America's farmer to farmer movement for sustainable agriculture. Food first books, 2006.
- 13. BENSIN, B. M. Agroecological characteristics description and classification of the local corn varieties. 1928.
- 14. Belliggiano, A.; & Conti, M. L'agroecologia come formula di sostenibilità e recupero dei saperi locali. Perspectives on rural development, 2019, 2019.3: 375-400.
- 15. Wezel, A.; Bellon, S.; Doré, T.; Francis, C.; Vallod, D.; David, C. Agroecology as a science, a movement and a practice. A review. Agronomy for sustainable development, 2009, 29.4: 503-515.
- 16. Gliessman, S.R. Agroecology: The Ecology of Sustainable Food Systems, 2007, CRC Press, Boca Raton, Florida.
- 17. Reijntjes, C.; Haverkort, B.; Waters-Bayer, A. Farming for the future: an introduction to low-external imput and sustainible agriculture. Leusden, NL: Ileia, 1992, 1992.
- 18. Altieri, M.A. Agroecology: the science of sustainable agriculture. Westview Press, 1995, Boulder, USA.
- 19. Altieri, M.A.; Nicholls, C.I. Agroecology and the Search for a Truly Sustainable Agriculture. Mexico: United Nations Environment Programme. 2005.
- 20. Stassart, P.M.; Baret, P.V.; Grégoire, J.C.; Hance, T.; Mormont, M., Reheul, D.; Stilmant, D.; Vanloqueren, G.; Vissser, M. L'agroécologie: trajectoire et potentiel. Pour une transition vers des systèmes alimentaires durables. In: Agroécologie. Éducagri éditions, 2012. p. 25-51.
- 21. Dumont, B.; Fortun-Lamothe, L.; Jouven, M.; Thomas, M.; Tichit, M. Prospects from agroecology and industrial ecology for animal production in the 21st century. animal, 2013, 7(6), 1028-1043.
- 22. Nicholls, C.I.; Altieri, M.A.; Vazquez, L. Agroecology: principles for the conversion and redesign of farming systems. J Ecosys Ecograph S, 2016, 5: 010.
- 23. CIDSE. The principles of agroecology. towards just, resilient and sustainable food systems. 2018. https://www.cidse.org/publications/just-food/food-and-climate/the-principles-of-agroecology.html.
- 24. FAO. Los 10 elementos de la agroecología guía para la transición hacia sistemas alimentarios y agrícolas sostenibles. 2018. http://www.fao.org/3/i9037ES.pdf.
- 25. INKOTA. Strengthening agroecology. For a fundamental transformation of agri-food systems. Position paper directed at the German Federal Government. 2019. https://webshop.inkota.de/node/1565.

562

563

564

565

566

567

568

569

570

571

572

573

574

575

576

577

578

579

581

582

583

584

585

586

587

588

589

590

591

598

599

600

601

602

603

604

- 26. Pretty, J. Agricultural sustainability: concepts, principles and evidence. Philosophical Transactions of the Royal Society B: Biological Sciences, 2008, 363.1491: 447-465. London.
- 27. PAÜL, V., MCKENZIE F.H. Peri-urban farmland conservation and development of alternative food networks: Insights from a case-study area in metropolitan Barcelona (Catalonia, Spain). Land use policy, 2013, 30.1: 94-105.
- 28. RENTING, H., MARSDEN, T.K., BANKS, J. Understanding alternative food networks: exploring the role of short food supply chains in rural development. Environment and planning A, 2003, 35.3: 393-411.
- 29. Ewert F., Baatz R., Finger R. Agroecology for a Sustainable Agriculture and Food System: From Local Solutions to Large-Scale Adoption. Annu. Rev. Resour. Econ. 2023. 15:351–81. DOI: https://doi.org/10.1146/annurev-resource-102422-090105.
- 30. Tornaghi, C. Urban agriculture in the food-disabling city:(Re) defining urban food justice, reimagining a politics of empowerment. Antipode, 2017, 49.3: 781-801.
- 31. Vaarst, M., Escudero, A. G., Chappell, M. J., Brinkley, C., Nijbroek, R., Arraes, N. A., ... & Halberg, N. Exploring the concept of agroecological food systems in a city-region context. Agroecology and Sustainable Food Systems, 2018, 42.6: 686-711.
- 32. Dyck, B. V., Maughan, N., Vankeerberghen, A., & Visser, M. Why we need urban agroecology. Urban agriculture magazine, 2017, 33: 5-6.
- 33. Weissman, E. Brooklyn's agrarian questions. Renewable Agriculture and Food Systems, 2015, 30.1: 92-102.
- 34. AA.VV. 2017. Urban agroecology. A thematic issue of the Urban Agriculture Magazine, No. 33. https://www.ruaf.org/ua-magazine-no-33-urban-agroecology.
- 35. Juncos, M. A. Assessing Agroecological Principles at the Intervale in Burlington, Vermont: A Case Study and Multimethod Research with a Participatory Approach in a Peri-Urban Socioecological System. 2021.
- 36. Marino, D.; & Viganò, L. Agroecologia e politiche del cibo: connessioni e sinergie nella ricerca di un processo di trasformativo dei food system. In: Agroecologia circolare. Dal campo alla tavola. Coltivare Biodiversità e innovazione. ReteAmbiente srl, 2021. p. 85-91.
- 37. Cavallo, A.; Di Donato, B.; Marino, D. Mapping and assessing urban agriculture in Rome. Agriculture and Agricultural Science Procedia, 2016, 8: 774-783.
- 38. Ledant, C. Urban Agroecology in Rome. Urban Agriculture Magazine, 2017, 33. November.
- 39. Lands Onlus, Terra Onlus, Una Food Policy per Roma, 2019. https://www.politichelocalicibo.it/wp-content/up-loads/2019/10/Una-Food-Policy-per-Roma.pdf.
- 40. Marino, D., & Mazzocchi, G. L'evoluzione della Food Policy a Roma: quali scenari?. Re l Cibo, 2022, 1.1.
- 41. Cannata, G., Cavallo A. Ripensare Roma e il suo sistema agroalimentare, in Rapporti Collana Ateneo, Unversitas Mercatorum, Giapeto Editore, 2021.
- 42. Cavallo, A., Di Donato, B., Guadagno, R., & Marino, D. The agriculture in Mediterranean urban phenomenon: Rome food- 592 scapes as an infrastructure. In: Finding Spaces for Productive Cities. In: Proceedings of the 6th AESOP Sustainable Food Plan- 593 ning Conference.-AESOP/VHL. 2014. p. 213-230.
- 43. Cavallo A., Marino D. Assessing the connections between farming, food, and landscape planning in the development of sus- 595 tainable urban policies: the case of Rome. In: Proceedings of international conference on "changing cities": spatial, morpholog- 596 ical, formal & socio-economic dimensions. Grafima, Thessaloniki. 2013.
- 44. ISTAT. 7° Censimento generale dell'agricoltura, Istat, Roma, 2022.
- 45. Munafò, M. (a cura di), 2022. Consumo di suolo, dinamiche territoriali e servizi ecosistemici. Edizione 2022. Report SNPA 32/22.
- 46. López-Ridaura, S., Masera, O., & Astier, M. Evaluating the sustainability of complex socio-environmental systems. The MESMIS framework. Ecological indicators, 2002, 2.1-2: 135-148.
- 47. Resare Sahlin, K., Carolus, J., von Greyerz, K., Ekqvist, I., & Röös, E. Delivering "less but better" meat in practice—a case study of a farm in agroecological transition. Agronomy for Sustainable Development, 2022, 42.2: 24.
- 48. Cuéllar-Padilla, M., and Ganuza-Fernandez E. We don't want to be officially certified! Reasons and implications of the participatory guarantee systems. Sustainability, 2018, 10.4: 1142.
- Marino, D., Mastronardi, L., Giannelli, A., Giaccio, V. and Mazzocchi, G. Territorialisation dynamics for Italian farms adhering to Alternative Food Networks. Bulletin of Geography. Socio-economic Series, 2018, 40: 113-131.