

Hamdhani Hamdhani <hamdhani@arizona.edu>

[EXT][Water] Manuscript ID: water-1177238 - Minor Revisions

Water Editorial Office <water@mdpi.com>

Wed, May 5, 2021 at 2:15 PM

Reply-To: gala.stojanovic@mdpi.com

To: Hamdhani Hamdhani <hamdhani@fpik.unmul.ac.id>

Cc: Hamdhani Hamdhani <hamdhani@email.arizona.edu>, Drew Elliot Eppehimer <deppehimer@email.arizona.edu>, David Walker <dwalker@ag.arizona.edu>, Michael Thomas Bogan <mbogan@arizona.edu>, Water Editorial Office <water@mdpi.com>

External Email

Dear Dr. Hamdhani,

Thank you for submitting your manuscript:

Manuscript ID: water-1177238 Type of manuscript: Article Title: Performance of a handheld chlorophyll-a fluorometer: potential use for rapid algae monitoring Authors: Hamdhani Hamdhani *, Drew Elliot Eppehimer, David Walker, Michael Thomas Bogan Received: 25 March 2021 E-mails: hamdhani@email.arizona.edu, deppehimer@email.arizona.edu, dwalker@ag.arizona.edu, mbogan@arizona.edu Submitted to section: Aquatic Systems—Quality and Contamination, https://www.mdpi.com/journal/water/sections/Aquatic_Systems_Quality_Contamination Field Methods for Water Quality Surveying https://www.mdpi.com/journal/water/special issues/field methods water quality

It has been reviewed by experts in the field and we request that you make minor revisions before it is processed further. Please find your manuscript and the review reports at the following link: https://susy.mdpi.com/user/manuscripts/resubmit/b745d96865a369ec8b45ae465d89cd61

Your co-authors can also view this link if they have an account in our submission system using the e-mail address in this message.

Please revise the manuscript according to the reviewers' comments and upload the revised file within 2 days. Use the version of your manuscript found at the above link for your revisions, as the editorial office may have made formatting changes to your original submission. Any revisions should be clearly highlighted, for example using the "Track Changes" function in Microsoft Word, so that they are easily visible to the editors and reviewers. Please provide a short cover letter detailing any changes, for the benefit of the editors and reviewers. Please detail the revisions that have been made, citing the line number and exact change, so that the editor can check the changes expeditiously. Simple statements like 'done' or 'revised as requested' will not be accepted unless the change is simply a typographical error.

If the reviewers have suggested that your manuscript should undergo extensive English editing, please have the English in the manuscript thoroughly checked and edited for language and form. Alternatively, MDPI provides an English editing service checking grammar, spelling, punctuation and some improvement of style where necessary for an additional charge (extensive re-writing is not included), see details at https://www.mdpi.com/authors/english.

Do not hesitate to contact us if you have any questions regarding the revision of your manuscript or if you need more time. We look forward to

3/1/23, 6:17 PM

hearing from you soon.

Kind regards, Gala Stojanović Assistant Editor, MDPI DOO E-Mail: gala.stojanovic@mdpi.com MDPI Novi Sad Branch Office Bulevar oslobođenja 83 21000 Novi Sad Serbia Tel. +381 21 300 14 49

MDPI Postfach, CH-4020 Basel, Switzerland Office: St. Alban-Anlage 66, 4052 Basel Tel.: +41 61 683 77 34; Fax: +41 61 302 89 18 http://www.mdpi.com/

Disclaimer: MDPI recognizes the importance of data privacy and protection. We treat personal data in line with the General Data Protection Regulation (GDPR) and with what the community expects of us. The information contained in this message is confidential and intended solely for the use of the individual or entity to whom they are addressed. If you have received this message in error, please notify me and delete this message from your system. You may not copy this message in its entirety or in part, or disclose its contents to anyone.

Response to Reviewer Comments

1. The rationale behind this study is not very clear. The authors mentioned that there are other invivo fluorometers available and their performances vary based on a number of factors: "Handheld fluorometers to measure in vivo chlorophyll-a concentrations in the field vary greatly in their sensitivity, points of calibrations, and the number of excitation channels (Cremella et al., 2018). Some of them are equipped with integrated turbidity sensors and data loggers, while others lack these features. Publications about the efficiency of hand-held fluorometers suggest that their measurement methods are instrument-specific and vary greatly among brands and models due to variations in measured emission band-widths." So, why specifically, The FluoroSense, hand-held fluorometer manufactured by Turner Designs was selected for this study needs to be explained clearly. A study based on this particular model is not making a lot of sense where clearly this type of instrument's performances vary greatly by different models.

H: This is a good suggestion, but we think that this specific handheld fluorometer is a newly available tool in the market to measure chlorophyll-a in water and intended as an early warning device, this is the reason we interested to study for knowing its performance by comparing to a traditional method for those who potentially will use this handheld fluorometer.

The results of this study should have been found in the manual of this specific fluorometer. This study in its existing form doesn't provide any additional scientific findings, or at least it is not clear from the current manuscript.

H: We appreciate this suggestion, however based on the feedback from reviewer #2 and #3, we think that our study still provide some additional scientific findings, since in the manual of this handheld fluorometer does not provide information regarding potential effect of ambient light and turbidity, as well as comparison to a traditional method.

3. More robust study was needed to compare the results with the actual field condition. The sampling was done only once (October 2019) and then the samples were spiked with kaolinite clay mineral powder to vary the turbidity concentration. But in real field conditions there might be many other interferences based on the seasonal changes. If this study wants to propose a calibration equation that can be used widely, more field-based applications are needed to validate the equation.

H: We appreciate this suggestion. We agree that in real field conditions there might be many other interferences based on the seasonal changes. Our study is a preliminary study of the specific handheld fluorometer, so at the end of the manuscript we have emphasized to suggest more extensive study to understand its performance better (including under seasonal changes)

4. The results suggest that the probe is not sensitive to ambient light and performed well at low chlorophyll-a concentrations (< 25 µg/L) across a range of turbidity levels (50 to 70 NTU). However, performance was lower when chlorophyll-a concentrations are > 25 µg/L and turbidity levels are <50 NTU. How this corresponds to different algal bloom conditions in real world scenarios need to be described. What is a typical chlorophyll-a concentration and turbidity condition of a eutrophic system (or systems) should be mentioned here. Then only the efficiency of this proposed calibration equation can be verified.</p>

H: This is a good suggestion; we have added information regarding what Trophic status of a water body should match. We added the following statement:

"This result also suggests that in term of trophic category, this probe could work well at oligotrophic and mesotrophic water bodies (Istvánovics, 2010)"

5. Pricewise how this equipment compares with its competitive models? Also, same comparison is needed in terms of the efficiency.

H: We have added the following statement:

"Additionally, the FluoroSense is much cheaper than the equipment required by EPA's standard protocol (it costs only about \$ 1,000.00 compared to almost \$ 15,000.00 for standard fluorometer)"

6. Please provide the coordinates of both the lakes.

H: We have provided the coordinates of both lakes

7. Line 92: It should be EPA method 445.

H: We have made a correction as suggested

8. How many replicates were used for each analytical measurement?

H: We have made a clarification by stating: "There were 15 replicates for each treatment"

9. Table 1 should have standard deviation for each dataset.

H: We cannot use Standard Deviation because there were no multiple samples compared

10. Table 2 should have standard deviation for turbidity numbers.

H: We have added the Standard Deviation for turbidity in the table 2

water

Article

3

4

5

6 7

8

9

MDPI

Performance of a Handheld Chlorophyll-a Fluorometer: Potential Use for Rapid Algae Monitoring

Hamdhani Hamdhani *1.2, Drew Eppehimer 1, David Walker 3 and Michael Bogan

Formatted: Font: Not Bold

1 School of Natural Resources and the Environment, University of Arizona, Tucson, AZ, USA;

deppehimer@email.arizona.edu (D.E.); mbogan@arizona.edu M.B.)

² Department of Aquatic Resources Management, University of Mulawarman, Samarinda, Indonesia

³ Department of Environmental Science, University of Arizona, Tucson, AZ, USA; dwalker@ag.arizona.edu

Correspondence: authors email address: hamdhani@email.arizona.edu

Abstract: Chlorophyll-a measurements are an important factor in water quality monitoring of surface waters, especially for determining trophic status and ecosystem management. However, collection of field samples for extractive analysis in a laboratory may not fully represent field conditions. Handheld fluorometers that can measure chlorophyll-a in situ are available, but their performance in waters with a variety of potential light interfering substances has not yet been tested. We tested a hand-held fluorometer for sensitivity to ambient light and turbidity, and compared these findings with EPA Method 445.0 using water samples obtained from two urban lakes in Tucson Arizona, USA. Our results suggest that the probe is not sensitive to ambient light, and performed well at low chlorophyll-a concentrations (< 25 µg/L) across a range of turbidity levels (50 to 70 NTU). However, performance was lower when chlorophyll-a concentrations are > 25 μ g/L and turbidity levels are <50 NTU. To account for this discrepancy, we developed a calibration equation to use for this hand-held fluorometer when field monitoring for potential harmful algal blooms in water bodies.

Keywords: water quality; turbidity; ecosystem management; biomonitoring; freshwater

1. Introduction

In many water bodies, excess nutrient loading has contributed to proliferation of primary producers (e.g., phytoplankton) and resulted in eutrophication, which can directly reduce aquatic biodiversity (Elliott et al., 2006; Paerl and Huisman, 2009; Paerl and Otten, 2013; Zhu et al., 2017). However, harmful algal blooms can be controlled successfully with regular water quality monitoring to help guide appropriate remediation measures (Ibelings et al., 2014). Algae monitoring programs are necessary for tracking both aquatic and public health risks, and should include algal biomass estimation (Ibelings et al., 2014; Hollister and Kreakie, 2015).

Accurate measurement of chlorophyll-a is an important component of ambient monitoring programs in water bodies. The United States Environmental Protection Agency (EPA) has standards for measuring algae, but they rely upon an extractive analysis of chlorophyll-a concentration to estimate algal abundance (Arar and Collins, 1997). This extractive analysis (EPA Method 445.0) is time-consuming and involves collection, and potential preservation of field collected samples. An in vivo fluorometric method for the measurement of chlorophyll-a was proposed in the mid 1970s (Loftus and Seliger, 1975; Porter et al., 1977) but due to the expense of electronic miniaturization, never gained wide spread acceptance or use at the time. More recently, handheld probes have been developed and refined for in vivo fluorometric measurement of chlorophyll-*a*. This methodology offers real-time measurement of chlorophyll-*a* without the need to collect samples for an extractive process (Salonen et al., 1999; Ghadouani and Smith 2005; Lu et al., 2020).

Hand-held fluorometers to measure in vivo chlorophyll-*a* concentrations in the field vary greatly in their sensitivity, points of calibrations, and the number of excitation channels (Cremella et al., 2018). Some of them are equipped with integrated turbidity sensors and data loggers, while others lack these features. Publications about the efficiency of hand-held fluorometers suggest that their measurement methods are instrument-specific and vary greatly among brands and models due to variations in measured emission bandwidths (Cremella et al., 2018).

The use of in vivo probes for field measurements also raises a number of questions concerning their precision in different environmental conditions. Many hand-held fluorometers available are sold without guidelines for their performance, including the potential need to measure other parameters (e.g., turbidity) to improve accuracy (Zamyadi et al., 2012). Previous studies demonstrate that in a natural aquatic environment, ambient light and turbidity could interfere with measurements of hand-held fluorometers (e.g., Vincent, 1981; Strass, 1990; Laney, 2010; Leeuw et al., 2013; Cui and Lv, 2014). For example, most hand-held fluorometers use dedicated light sources to illuminate a small volume of water that is passing through the measuring chamber. This technique may need to be shielded from ambient light to ensure the accuracy of the sensor's reading (Leeuw et al., 2013). Additionally, water turbidity can interfere with the transmission of the excitation wavelength and the cells' response to the probe. Although, some manufacturers recognize these limitations and recommend correction factors, many hand-held fluorometers require frequent validation with more robust methods (i.e., EPA Method 445.0) for better accuracy (Zamyadi et al. 2012; Leeuw et al., 2013).

We evaluated the performance of a <u>newly available</u> hand-held fluorometer (<u>see</u> <u>Method section</u>) under differing scenarios. Our goals were to: (1) explore its performance under different concentrations and assemblages of algae, (2) test its sensitivity to ambient light, (3) investigate the impact of turbidity on measurements, and (4) compare its measurements with those produced by EPA method 445.0 (Arar and Collins, 1997). If this hand-held fluorometer produces accurate measurements of chlorophyll-*a* concentrations across a wide range of environmental conditions, it could become a user-friendly and cost-effective tool in the monitoring of chlorophyll-*a* and harmful algal blooms.

2. Methods

2.1. Apparatus description

The FluoroSenseTM hand-held fluorometer (hereafter referred to as FluoroSense), manufactured by Turner Designs (Sunnyvale, California), detects the fluorescence of in vivo chlorophyll-*a* using excitation light from the fluorometer to excite chlorophyll-*a* within algal cells, causing them to fluoresce. The fluorescence is then detected and converted to a digital value and can then be correlated to a known concentration of chlorophyll-*a* in µg/L. Use of the FluoroSense probe is simple: submerge the probe into water and press the button for an immediate result. FluoroSense is factory-calibrated and capable of detecting algae concentrations within the range of 0-199 µg/L in vivo chlorophyll-*a*, with 1µg/L resolution. FluoroSense also is equipped with a shade cap intended to prevent ambient light interference during field measurements. The manufacturer claims that this instrument is intended as an early warning device to determine whether additional testing is required in a body of water. Additionally, the FluoroSense is much cheaper than the equipment required by EPA's standard protocol (it costs only about \$ 1,000.00 compared to almost \$ 15,000.00 for standard fluorometer).

The TD–700[™] fluorometer, also manufactured by Turner Designs, is used in extractive chlorophyll-*a* quantification. Chlorophyll-*a* filtering and dissolving in acetone is required in this method before results can be read according to <u>EPA</u> Method 445 for in vitro

determination of chlorophyll-*a* in freshwater algae by fluorescence (Arar and Collins, 1997). Chlorophyll-containing phytoplankton in a measured volume of sample water are concentrated by filtering at low vacuum through a glass fiber filter. Chlorophyll-*a* is water-insoluble, but can be easily dissolved in organic solvents such as acetone. In 90% acetone, the pigments can be extracted from the phytoplankton with the aid of a mechanical tissue grinder to ensure thorough extraction of the chlorophyll-*a* (Arar and Collins, 1997).

2.2. Sampling and Laboratory Experiment

We tested for differences in measurements between the FluoroSense probe and the TD-700 fluorometer under different environmental conditions using water samples from two urban, man-made lakes in Tucson, Arizona, USA (Figure 1). Lakeside Lake (5.7-hectare surface area at 32°11′10.1″N 110°48′58.8″W) and Silverbell Lake (5.3-hectare surface area at 32°17′05.0″N 111°01′55.0″W) both receive moderate recreational and fishing use and are fed by groundwater pumped to the surface via wells. The well that supports Silverbell Lake is influenced by treated wastewater recharge in the nearby effluent-dependent Santa Cruz River (Eppehimer et al., 2020) and Lakeside Lake also receives episodic runoff from Atterbury Wash, an ephemeral urban stream.



Figure 1. A) FluoroSense[™] Handheld Fluorometer and B) TD-700[™] Fluorometer (*source: Turner Designs*).



Figure 2. Water samples for analyses were collected from Lakeside Lake (A) and Silverbell Lake (B) in Tucson, Arizona (USA).

In each lake, we collected a 15-L composite water sample on October 5, 2019. Each composite sample consisted of five, 3-L grabs collected from different portions of the lake accessible from the shore. The composite samples were combined into a 5-gallon plastic water container, transported to the laboratory at the University of Arizona, and analyzed within 24 h. In the laboratory, each composite water sample was transferred into, and homogenized using, a mixing bucket. One L of homogenized water from each lake was

used for taxonomic analyses of algae. This taxonomic subsample was then transferred to a 1-L glass beaker and stirred with a magnetic stir bar for 1 min prior to pipetting out 1 mL of sample. Phytoplankton samples were read using an Olympus BH2 phase-contrast microscope and Sedgewick-Rafter (S-R) counting chamber (Wehr et al., 2015). The S-R cell was 10 cm². Both strip and field counts were performed and units/cm² calculated (Stevenson and Bahls,1999).

We tested the performance of the FluoroSense probe on water from both lakes at three different algal concentrations, under light and dark conditions, and under four different levels of added turbidity. We obtained 600 mL subsamples from the homogenized samples collected from each lake. These subsamples were subjected to the following treatments. To achieve three algal concentrations, we used (1) the original water collected from each lake, (2) a dilution with one-third distilled water, and (3) a dilution with two-thirds distilled water. Hereafter, these treatments are called high, medium, and low concentrations of algae, respectively. We selected these treatments after testing the undiluted samples to be sure that all treatment concentrations would be within the detection range $(0-199 \mu g/L)$ reported by the FluoroSense manufacturer. Next, four turbidity treatments were created from undiluted subsamples (i.e., ambient algal concentrations) from each lake by adding kaolinite clay mineral powder. We added 0 (ambient turbidity), 0.01, 0.02, and 0.03 g of kaolinite clay (Cremella et al., 2018; Chang et al., 2011) into the same algal concentration treatment subsamples to create the four added turbidity treatments (none, low, medium, and high, respectively). There were 15 replicates for each treatment. The exact turbidity NTU in each replicate and treatment after clay powder addition was measured with a Sper Scientific 860040 Turbidity Meter (Sper Scientific).

After all treatment replicates were created, we used the FluoroSense to measure chlorophyll-*a* under the two different light treatments for all three algal concentration treatments and all four turbidity treatments. Dark treatment measurements were made under bright light (5500 Lux) generated from two LED lamps positioned at 45° angles, which simulated the intensity of mid-day outdoor light at the two lakes we sampled. Finally, we examined how well the FluoroSense probe compared to the EPA Method 445.0 approach under these varying algal concentrations and turbidities. For measurements using the TD-700, we filtered 20 mL subsamples from each treatment, and then extracted filtered algae in 10 mL of acetone for each subsample, as recommended in the TD-700 user's manual. Finally, we compared measurements of chlorophyll-a using the FluoroSense probe and the TD-700 for the same replicate samples from each lake under the three different algal concentrations and the four different added turbidity treatments.

Prior to testing, all instruments were calibrated using manufacturer-recommended procedures. Rhodamine dye $100\mu g/L$ was used for the FlouroSense and chlorophyll-*a* Solid Secondary Standard (P/N 7000-994) was used for the TD-700. Additionally, the TD-700, was zero-adjusted using acetone. Finally, the turbidity probe was calibrated using two points: the zero point was calibrated with 0 NTU solution and the second point was calibrated using a 100 NTU solution provided by the manufacturer.

2.3. Statistical Analyses

The FluoroSense readings under three different concentrations (with no added turbidity) in in the dark and light treatments were compared using paired t-tests using Stata Version 15.1 (StataCorp, 2017). Histogram analysis indicated that there were no outliers in the dataset and all variables were approximately normally distributed (data not shown). We used α =0.05 as a threshold to identify statistical significance. To investigate the performance of the FluoroSense probe under different turbidity treatments across both lakes, an <u>ANOVA</u> multiple regression was run in R version 3.5.1 (R Core Team, 2019). The FluoroSense readings and turbidity measurements were included as independent variables for predicting the dependent TD-700 values, including the interaction between them.

Formatted: Font: 10 pt

123

124

125

126

.83 .84 .85

86

87

88

89

190

191

192 193

194 195

196

197

98 99

200

201

202

if the hand hold fluore meter could reliably predict the caourements produced by EPA Method 445.0. Light treatments were not included in the regression modelANOVA. because they were not found to affect the probe's chlorophylla measurements (see below, and). Lake identity (Lakeside vs Silverbell) was initially also considered as a model-factor to account for potential differences in probe performance in waters from differing algal assemblages. We used ANOVA with light, turbidity, chlorophyll a concentrations, and lake identity. Based on the paired t-test and the ANOVA results (see Results), we used a simplified Linear Regression model (run in R version 3.5.1) to provide calibration equation. This simplified equation removed light because it was not found to affect the probe's chlorophyll-a measurements, 7 Wwe also removed lake identity7 so this equation could apply to a variety of environments. However, lake identity was excluded after we found that the responses to light, turbidity, and chlorophyll a concentrations were not statistically different between the two lakes. This approach aimed to determine if the hand-held fluorometer could reliably predict the measurements produced by EPA Method 445.0.

3. Results

3.1. Algal Taxa and Concentrations in Lakeside and Silverbell Lakes

Lakeside Lake supported a more diverse algal assemblage, with 8 genera in 4 phyla at relatively low to moderate concentrations, including two cyanobacteria taxa (Table 1). In contrast, we only detected a single algal taxon in Silverbell Lake, the cyanobacteria Microcystis, and it was found in relatively high concentrations. Chlorophyll-a readings (µg/L) under both measurement approaches (FluoroSense and TD-700) were roughly twice as high in subsamples from Silverbell Lake when compared to those from Lakeside Lake (Table 1, Figure 3). ANOVA results suggested that these different algal assemblages impacted the FluoroSense performance (Table S1). However, a detailed investigation of these impacts was beyond the scope of this study.

Table 1. Algal taxa identified in 1L samples collected from Lakeside and Silverbell Lakes, including the concentrations of individual taxa reported in units/mL.

Phylum	Genus	Quantity (units/mL)						
Lakeside Lake								
Chlorophyta	Dictyosphaerium	3,400						
Chlorophyta	Chlamydomonas	3,000						
Chlorophyta	Scenedesmus	2,800						
Pyrrophyta	Gymnodinium	2,200						
Chrysophyta	Fragilaria	2,000						
Chrysophyta	Cymbella	800						
Cyanobacteria	Microcystis	400						
Cyanobacteria	Oscillatoria	200						
Silverbell Lake								
Cyanobacteria	Microcystis	32,600						

203 204

205 206 207 Table 2. Paired t-test results for FluoroSense readings under light and dark conditions at three levels of algal concentration dilutions and three turbidity treatments. The mean, standard deviation (Std. Dev.), and minimum (min) and maximum (max) FluoroSense chlorophyll-a readings (μ g/L) are provided for each dilution series (n= 15 for each series), and exact turbidity measurements (NTU) are provided for the three turbidity treatments as well. Significant t-test results are highlighted in bold with an asterisk.

Sample origin	Algal con- centration	Turbidity added	Turb	idity	Light treatment			Dark treatment				t test (p-value)	
			(NTU)	<u>Std.</u> Dev.	Mean	Std. Dev.	Min	Max	Mean	Std. Dev.	Min	Max	
Lakeside Lake		None	21.48	0.18	71.00	3.08	67	74	66.20	3.27	62	71	>0.05
	High	Low	45.39	1.61	66.00	2.45	63	69	63.60	2.97	59	67	>0.05
		Medium	61.00	1.58	63.80	1.79	62	66	62.40	3.65	58	67	>0.05

5 of 10

		High	82.20	2.86	51.20	1.30	49	52	54.00	2.74	50	57	>0.05
		None	16.65	<u>0.74</u>	47.00	2.55	44	50	50.60	5.86	43	57	>0.05
	Madium	Low	42.64	<u>0.48</u>	44.00	3.55	40	48	44.80	3.56	39	48	>0.05
	wiedium	Medium	72.00	<u>1.87</u>	42.00	2.24	39	45	40.40	1.67	39	43	>0.05
		High	90.80	<u>1.30</u>	34.60	1.52	33	37	33.20	1.30	32	35	>0.05
		None	12.13	<u>1.19</u>	34.40	2.07	31	36	32.60	2.70	28	35	< 0.05*
	Low	Low	34.00	0.38	29.20	0.84	28	30	28.20	1.10	27	30	>0.05
	LOW	Medium	52.80	<u>0.84</u>	30.20	1.64	28	32	28.40	1.52	27	30	>0.05
		High	71.00	0.71	25.00	2.00	23	27	26.20	1.10	25	27	>0.05
	High	None	12.28	0.46	151.80	8.24	139	170	148.60	7.24	133	156	>0.05
		Low	34.03	<u>0.46</u>	136.07	7.37	122	150	136.67	6.86	126	148	>0.05
		Medium	56.60	0.55	121.67	5.84	114	134	125.13	7.38	112	138	>0.05
		High	89.00	1.00	112.73	3.71	105	118	111.33	5.02	104	124	>0.05
	Medium	None	4.408	<u>0.08</u>	91.93	7.31	78	102	88.80	5.28	77	100	>0.05
Silverbell		Low	35.68	1.05	83.47	3.58	78	90	82.40	5.05	74	89	>0.05
Lake _		Medium	61.40	0.55	75.73	4.25	71	85	72.20	6.06	66	85	>0.05
		High	97.80	<u>1.48</u>	64.67	6.22	55	76	62.67	4.43	55	70	>0.05
	Low	None	3.08	0.12	51.53	6.00	41	63	47.33	5.42	39	61	>0.05
		Low	46.16	0.32	43.07	2.76	39	48	43.47	4.75	37	50	>0.05
		Medium	95.00	1.58	33.93	4.18	29	43	31.73	3.73	26	41	< 0.05*
		High	118.00	1.00	34.47	2.29	30	38	33.27	2.60	30	38	>0.05



Figure 3. Comparison between extracted total chlorophyll-a using TD-700 versus chlorophyll-a estimated from in vivo measurements using the FluoroSense probe across different added turbidity levels [none (blue), low (brown), medium (grey), and high (yellow)] and three different sample dilutions from Lakeside and Silverbell Lake water samples (panels A and B, respectively). For all panels, the solid black line illustrates a 1:1 relation between the two measurement techniques.

3.2. Sensitivity to Ambient Light

FluoroSense chlorophyll-*a* measurements generally were not affected by light across the wide range of dilutions and turbidity treatments that we tested (Table 24; Table S1). Only two of the 24 treatment combinations resulted in significant differences between light and dark conditions. Both of these significant results occurred under the low algal concentration treatments of Lakeside and Silverbell Lakes, with one occurring under no added turbidity and the other occurring under the medium turbidity treatment.

3.3. Sensitivity to Turbidity

Linear regression illustrated that chlorophyll-*a* estimations between the two methods were closest for the high turbidity treatment (i.e., fell closest to the 1:1 line) but grew farther apart with decreasing turbidity (Figure 3). These results suggest the FluoroSense probe overestimates chlorophyll-*a* concentrations in low turbidity situations. Additionally, measurements between the two methods were closer to the 1:1 line at lower chlorophyll-*a* concentrations. This pattern occurred in subsamples from both lakes, but was especially pronounced in samples from Silverbell Lake, which had much higher ambient algal densities of *Microcystis* (see Table 1) and higher concentrations of chlorophyll-*a* (Figure 3). Both the Fluorosense reading and turbidity level (NTU) were significant, factors-had the largest F values in the ANOVA (Table S1), and were included in the final regression model (Table 3). Overall, our testing within the range of 25-150 µg/L chlorophyll-*a* across subsamples from both lakes resulted in a final model with the following Equation (1):

 $TD-700 \ Chl-a = 1.7962 + (0.5897*FluoroSense \ Chl-a) + (0.1862*Turbidity)$ (1)

Where *TD-700 Chl-a* is the predicted chlorophyll-a concentration in μ g/L using EPA Method 445.0, *FluoroSense Chl-a* is the chlorophyll-a reading using the Fluorosense in μ g/L, and the *Turbidity* is the ambient known turbidity in NTU. This model <u>performed</u> well, explaininged 94% of the variation in TD-700 readings across all samples from Lakeside and Silverbell Lakes, <u>Observed vs expected residuals matched closely across the range of tested concentrations (Figure S1),-</u>

Table 3. Multiple linear regression model for predicting TD-700 readings using FluoroSense readings and turbidity measurements across three algal concentration and turbidity treatments from Lakeside and Silverbell Lakes ($R^2 = 0.94$).

	Coefficients	Std. Error	t value	p-value
Intercept	1.796	0.563	3.189	0.0015
FluoroSense reading	0.590	0.005	107.204	< 2e-16
Turbidity	0.186	0.006	30.565	< 2e-16

4. Discussion

Studies examining the performances of low-cost portable fluorometers suggest that sensitivity to light during daytime deployment can be a primary limitation, with detectors easily becoming saturated by ambient light (Rovati and Docchio, 1999; Leeuw et al., 2013). As a result, two methods were suggested to reduce the light sensitivity of probes: (1) modulate the light source and apply a high frequency filter as part of detection circuit and (2) create a flow through system that excludes ambient light (Leeuw et al., 2013). The FluoroSense takes the latter approach, with a cap at the bottom tip of the unit that aims to prevent ambient light penetration. Our results indicate that the FluoroSense cap does block ambient light and that the probe can confidently be used for daytime field measurements, even in the bright conditions.

Turbidity can introduce errors into the measurements of fluorescence probes, leading to overestimating (e.g., Leeuw et al., 2013; Cui and Lv, 2014; Cremella et al., 2018) or underestimating of the actual fluorescence readings (e.g., Brient et al., 2008; Zamyadi et al. 2012). These errors likely arise due to the light scattering, so the optical configuration of the fluorescence probe may cause different responses to turbidity (Zamyadi et al. 2016). In our study, the added inorganic mineral turbidity treatments most likely reduced the FluoroSense's signal, leading to decreased estimations in chlorophyll-*a* values. Interestingly, this pattern almost seemed to correct for the probe's tendency to overestimate chlorophyll-*a*, such that the high turbidity treatments (~70 NTU) were closest to the 1:1 line, especially at lower ambient concentrations of algae (~25 μ g/L of chlorophyll-*a*) (Figure 3). Whether this tendency to overestimate values is intentional to the design of FluoroSense is unknown, but it results in a probe that works better under some of the higher turbidity

7 of 10

situations that could be encountered in the field. Although we did not test the probe's performance on samples from lotic ecosystems, mean chlorophyll-*a* values in temperate streams tend to be low (~27 μ g/L), even during the high productivity summer period (Van Nieuwenhuyse and Jones, 1996). Our finding of better performance under higher turbidities (<u>50-70 NTU</u>) and lower algal concentrations (<<u>25 μ g/L</u>) suggests that the Fluorosense probe could work well in streams where these conditions are frequently encountered. This result also suggests that in term of trophic category, this probe could work well at oligo-trophic and mesotrophic water bodies (Istvánovics, 2010)

We tested the effect of turbidity emanating from inorganic fine kaolinite clay. Different grain sizes of suspended sediment causing turbidity may affect the performance of hand-held probes differently than what was quantified in this study. One study revealed that smaller particle sizes result in higher reductions of florescence intensities when compared to measurements made in samples with the same mass of sediment, but larger particle sizes (Brient et al., 2008). Optical interference in fluorometer readings may also originate from dissolved organic compounds of different colors. For example, tannins from leaves emit florescence in a wide spectrum of wavelengths (Hudson et al., 2007; Cremella et al., 2018), and could interfere with probe measurements. More research is needed to understand the responses of the FluoroSense probe to colored dissolved organic compounds or sediments of different origin and grain size than what we examined in this study.

One concern about hand-held fluorometers is how well they perform across a range of sampling locations that vary widely in algae concentrations and taxonomic composition. Although we only tested water from two lakes in this study, algal assemblages and concentrations differed markedly between them, with one supporting a diverse assemblage (8 genera) - at lower concentrations (14,800 units/mL) and the other supporting only cyanobacteria at higher concentrations (32,600 units/mL) (Table 1). Despite-Although there were differences in the FlouroSense's performance between these two lakesthese differences, our results suggest that FluoroSense's-its measurements, and its response to different light, turbidity, and algal dilution treatments, were similar-reliable between across the two study lakes. The FlouroSense probe may overestimate chlorophyll in cyanobacteria, and further research is needed to identify the complexities of thethe exact relationships between algal assemblages and the readings of handheld fluorometers. Additionally, FluoroSense worked well in Silverbell Lake, which was dominated by the potentially harmful cyanobacteria (Microcystis). This taxon is a management concern due to its wide range of potential adverse health effects (e.g., Pip and Bowman, 2014; Yuan et al., 2014), so it is important that the probe works well to estimate concentrations of cyanobacteria.

5. Conclusions

Our testing of the hand-held FluoroSense probe showed that, as an in situ instrument, it is not sensitive to ambient light, but that it overestimates chlorophyll-*a* concentrations at lower inorganic turbidity levels and higher ambient algal concentrations. However, our regression model was able to adjust for these limitations within the range tested (25–150 µg/L). In these situations, FluoroSense can be used as a fast, simple, and easy method in monitoring algal biomass for determining trophic status and ecosystem management. Future studies evaluating FluoroSense or other hand-held fluorometers should address how they are affected by organic turbidity and colored dissolved organic matter, and also test their performance in measuring very low chlorophyll-*a* concentrations that were not assessed in our study.

Acknowledgments

The authors have no relationship with Turner Designs and received no financial compensation or discounts for conducting this study. This research was completed as part of

8 of 10

Formatted: Font: (Default) Palatino Linotype, Font color: Black, Pattern: Clear

Hamdhani's PhD dissertation at the University of Arizona and was funded by the Indonesia Endowment Fund for Education (LPDP). During the sampling and writing of this study, DE Eppehimer was supported by the Lincoln Institutes Babbitt Dissertation Fellowship Program, and MT Bogan was supported by start-up funding from the University of Arizona. We thank B. Gill, E. McGee, K. Hollien, S. Wasko, and M. Grageda for providing useful feedback on earlier drafts of this manuscript.

325 References

319

320

321

322

323

324

- Almomani, F. A., & Örmeci, B. (2018). Monitoring and measurement of microalgae using the first derivative of absorbance and com parison with chlorophyll extraction method. *Environmental Monitoring and Assessment*, 190(2), 90.
- Arar, E. J., & Collins, G. B. (1997). Method 445.0: In vitro determination of chlorophyll a and pheophytin a in marine and freshwater algae by
 fluorescence. Washington, DC, USA: United States Environmental Protection Agency, Office of Research and Development, Na tional Exposure Research Laboratory.
- Brient, L., Lengronne, M., Bertrand, E., Rolland, D., Sipel, A., Steinmann, D., & Bormans, M. (2008). A phycocyanin probe as a tool
 for monitoring cyanobacteria in fresh water bodies. *Journal of Environmental Monitoring*, 10(2), 248-255.
- Chang, D. W., Hobson, P., Burch, M., & Lin, T. F. (2011). The limitation of measurement in cyanobacteria using in-vivo fluoroscopy.
 In 2011 Seventh International Conference on Intelligent Sensors, Sensor Networks and Information Processing (pp. 184-188). IEEE.
- Cremella, B., Huot, Y., & Bonilla, S. (2018). Interpretation of total phytoplankton and cyanobacteria fluorescence from cross-calibrated fluorometers, including sensitivity to turbidity and colored dissolved organic matter. *Limnology and Oceanography: Methods*, *16*(12), 881-894.
- Cui, J. S., & Lv, P. Y. (2014). Turbidity effect on the fluorescence determination of chlorophyll-a in water. In *Applied Mechanics and Materials* (Vol. 522, pp. 60-63). Trans Tech Publications Ltd.
- Elliott, J. A., Jones, I. D., & Thackeray, S. J. (2006). Testing the sensitivity of phytoplankton communities to changes in water temperature and nutrient load, in a temperate lake. *Hydrobiologia*, 559(1), 401-411.
- 342 Eppehimer, D. E., Hamdhani, H., Hollien, K. D., & Bogan, M. T. (2020). Evaluating the potential of treated effluent as novel habitats 343 for aquatic invertebrates in arid regions. *Hudrobiologia*, 847(16), 3381-3396.
- 344 Ghadouani, A., & Smith, R. E. (2005). Phytoplankton distribution in Lake Erie as assessed by a new in situ spectrofluorometric technique. *Journal of Great Lakes Research*, 31, 154-167.
- Hollister, J. W., & Kreakie, B. J. (2016). Associations between chlorophyll a and various microcystin health advisory concentrations.
 F1000Research. 5.
- Hudson, N., Baker, A., & Reynolds, D. (2007). Fluorescence analysis of dissolved organic matter in natural, waste and polluted wa ters a review. *River research and applications*, 23(6), 631-649.
- Ibelings, B. W., Backer, L. C., Kardinaal, W. E. A., & Chorus, I. (2014). Current approaches to cyanotoxin risk assessment and risk
 management around the globe. *Harmful Algae*, 40, 63-74.
- Jstvánovics, V. (2010). Eutrophication of lakes and reservoirs. Lake ecosystem ecology. Elsevier, San Diego, CA, 47-55.
- Laney, S. R. (2010). In situ measurement of variable fluorescence transients. In *Chlorophyll a Fluorescence in Aquatic Sciences: Methods and Applications* (pp. 19-30). Springer, Dordrecht.
 Leeuw, T., Boss, E. S., & Wright, D. L. (2013). In situ measurements of phytoplankton fluorescence using low cost electronics. *Sensors*,
- 356 13(6), 7872-7883.
- Loftus, M. E., & Seliger, H. H. (1975). Some limitations of the in vivo fluorescence technique. *Chesapeake Science*, 16(2), 79-92.
 Lu, J., Yuan, Y., Duan, Z., Zhao, G., & Svanberg, S. (2020). Short-range remote sensing of water quality by a handheld fluorosensor
- system. Applied optics, 59(10), C1-C7.
- Paerl, H. W., & Huisman, J. (2009). Climate change: a catalyst for global expansion of harmful cyanobacterial blooms. *Environmental Microbiology Reports*, 1(1), 27-37.
- 362 Paerl, H. W., & Otten, T. G. (2013). Harmful cyanobacterial blooms: causes, consequences, and controls. *Microbial Ecology*, 65(4), 995-363 1010.
- Pip, E., & Bowman, L. (2014). Microcystin and algal chlorophyll in relation to nearshore nutrient concentrations in Lake Winnipeg, Canada. Environment and Pollution. 3(2), 36-47.
- Porter, G., Synowiec, J. A., & Tredwell, C. J. (1977). Intensity effects on the fluorescence of in vivo chlorophyll. *Biochimica et Biophysica Acta (BBA)-Bioenergetics*, 459(3), 329-336.
- R Core Team, 2019. R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. https://www.R-project.org/.
- Rovati, L., & Docchio, F. (1999). Low-noise front-end electronics for solid-state fluorometers. *Review of Scientific Instruments*, 70(9),
 3759-3764.
- Salonen, K., Sarvala, J., Järvinen, M., Langenberg, V., Nuottajärvi, M., Vuorio, K., & Chitam webwa, D. B. R. (1999). Phytoplankton in
 Lake Tanganyika vertical and horizontal distribution of in vivo fluorescence. In *From Limnology to Fisheries: Lake Tanganyika* and Other Large Lakes (pp. 89-103). Springer, Dordrecht.
- 375 StataCorp. 2017. Stata Statistical Software: Release 15. College Station, TX: StataCorp LLC.

Formatted: Font: (Default) Palatino Linotype, pt, Font color: Auto

Formatted: Font: (Default) Palatino Linotype, 9 pt, Italic, Font color: Auto

Formatted: Font: (Default) Palatino Linotype, 9 pt, Font color: Auto

Formatted: Font: (Default) Palatino Linotype, pt, Font color: Auto

Formatted: Font: (Default) Palatino Linotype, pt, Italic, Font color: Auto

Formatted: Font: (Default) Palatino Linotype, 9 pt, Font color: Auto

Formatted: Pattern: Clear (White)

9 of 10

Stevenson, R. J., & Bahls, L. L. (1999). Periphyton protocols. Rapid bioassessment protocols for use in wadeable streams and rivers: periphyton, benthic macroinvertebrates, and fish. EPA.

377 378 Strass, V. (1990). On the calibration of large-scale fluorometric chlorophyll measurements from towed undulating vehicles. Deep Sea 379 Research Part A. Oceanographic Research Papers, 37(3), 525-540.

- 380 Van Nieuwenhuyse, E. E., & Jones, J. R. (1996). Phosphorus chlorophyll relationship in temperate streams and its variation with 381 stream catchment area. Canadian Journal of Fisheries and Aquatic Sciences, 53(1), 99-105.
- 382 Vincent, W. F. (1981). Photosynthetic capacity measured by DCMU-induced chlorophyll fluorescence in an oligotrophic lake. Fresh-383 water Biology, 11(1), 61-78.
- 384 Wehr, J. D., Sheath, R. G., & Kociolek, J. P. (Eds.). (2015). Freshwater algae of North America: ecology and classification. Elsevier.
- Yuan, L. L., Pollard, A. I., Pather, S., Oliver, J. L., & D. Anglada, L. (2014). Managing microcystin: identifying national-scale thresholds 385 386 for total nitrogen and chlorophyll a. Freshwater Biology, 59(9), 1970-1981.
- Zamyadi, A., Choo, F., Newcombe, G., Stuetz, R., & Henderson, R. K. (2016). A review of monitoring technologies for real-time 387 management of cyanobacteria: Recent advances and future direction. TrAC Trends in Analytical Chemistry, 85, 83-96. 388
- Zamyadi, A., McQuaid, N., Dorner, S., Bird, D. F., Burch, M., Baker, P., & PRÉvost, M. (2012). Cyanobacterial detection using in vivo 389 fluorescence probes: Managing interferences for improved decision-making. Journal-American Water Works Association, 104(8), 390 391 E466-E479.
- 392 Zhu, Y., McCowan, A., & Cook, P. L. (2017). Effects of changes in nutrient loading and composition on hypoxia dynamics and internal 393 nutrient cycling of a stratified coastal lagoon. Biogeosciences, 14(19), 4423.
- 394

376

10 of 10



Hamdhani Hamdhani <hamdhani@arizona.edu>

Fri, May 14, 2021 at 2:19 PM

[EXT][Water] Manuscript ID: water-1177238 - Accepted for Publication

Water Editorial Office <water@mdpi.com>

Reply-To: Water Editorial Office <water@mdpi.com>

To: Hamdhani Hamdhani <hamdhani@fpik.unmul.ac.id>

Cc: Hamdhani Hamdhani <hamdhani@email.arizona.edu>, Drew Elliot Eppehimer <deppehimer@email.arizona.edu>, David Walker <dwalker@ag.arizona.edu>, Michael Thomas Bogan <mbogan@arizona.edu>, Water Editorial Office <water@mdpi.com>

External Email

Dear Dr. Hamdhani,

Congratulations on the acceptance of your manuscript, and thank you for your interest in submitting your work to Water:

Manuscript ID: water-1177238 Type of manuscript: Article Title: Performance of a handheld chlorophyll-a fluorometer: potential use for rapid algae monitoring Authors: Hamdhani Hamdhani *, Drew Elliot Eppehimer, David Walker, Michael Thomas Bogan Received: 25 March 2021 E-mails: hamdhani@email.arizona.edu, deppehimer@email.arizona.edu, dwalker@ag.arizona.edu, mbogan@arizona.edu Submitted to section: Aquatic Systems—Quality and Contamination, https://www.mdpi.com/journal/water/sections/Aquatic_Systems_Quality_Contamination Field Methods for Water Quality Surveying https://www.mdpi.com/journal/water/special_issues/field_methods_water_quality https://susy.mdpi.com/user/manuscripts/review_info/b745d96865a369ec8b45ae465d89cd61

We will now edit and finalize your paper, which will then be returned to you for your approval. Within the next couple of days, an invoice concerning the article processing charge (APC) for publication in this open access journal will be sent by email from the Editorial Office in Basel, Switzerland.

If, however, extensive English edits are required to your manuscript, we will need to return the paper requesting improvements throughout.

We encourage you to set up your profile at SciProfiles.com, MDPI's researcher network platform. Articles you publish with MDPI will be linked to your SciProfiles page, where colleagues and peers will be able to see all of your publications, citations, as well as other academic contributions.

We also invite you to contribute to Encyclopedia (https://encyclopedia.pub), a scholarly platform providing accurate information about the latest research results. You can adapt parts of your paper to provide valuable reference information, via Encyclopedia, for others both within the field and beyond.

Kind regards, Gala Stojanović Assistant Editor, MDPI DOO E-Mail: gala.stojanovic@mdpi.com MDPI Novi Sad Branch Office Bulevar oslobođenja 83 21000 Novi Sad Serbia Tel. +381 21 300 14 49 MDPI Postfach, CH-4020 Basel, Switzerland Office: St. Alban-Anlage 66, 4052 Basel Tel.: +41 61 683 77 34; Fax: +41 61 302 89 18 http://www.mdpi.com/

Disclaimer: MDPI recognizes the importance of data privacy and protection. We treat personal data in line with the General Data Protection Regulation (GDPR) and with what the community expects of us. The information contained in this message is confidential and intended solely for the use of the individual or entity to whom they are addressed. If you have received this message in error, please notify me and delete this message from your system. You may not copy this message in its entirety or in part, or disclose its contents to anyone.